

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Tsuyoshi YAMAMOTO and Toshihiko HIROSHIMA

Serial No: Not assigned

Filed: October 30, 2003

For: Tilt Control Method and Apparatus for Optical Disc Recording and Playback
Apparatus

Mail Stop PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

CORRESPONDENCE ADDRESS:

☒ Customer Number

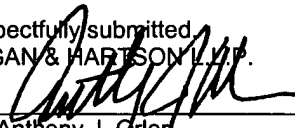
000026021

Transmitted herewith for filing is the patent application identified above.

- ☒ 2 sheet(s) of drawings (☒ formal ☐ informal) is(are) enclosed.
- ☒ 20 page(s) of specification and 1 page(s) of abstract of the invention are enclosed.
- ☒ An assignment of the invention to SANYO ELECTRIC CO., LTD. ☒ is enclosed ☐ will follow.
- ☐ An associate power of attorney ☐ is enclosed ☐ will follow.
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☒ Declaration and Power of Attorney ☒ is enclosed ☐ will follow.
- ☒ A certified copy of Japanese Patent Application No. 2002-362233 filed December 13, 2002 from which priority is claimed under 35 U.S.C. § 119 is enclosed.
- ☒ IDS enclosed (☒ with 2 reference(s)).
- ☐ Preliminary Amendment is enclosed.
- ☐ Application data sheet is enclosed. See 37 CFR 1.76.
- ☒ Return postcard is enclosed.

CALCULATION OF FEES							
ITEM	TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE	\$ AMOUNT	\$ FEE	
A TOTAL CLAIMS FE E	12	-20	0	LG=\$18 SM=\$9	\$18	\$ 0	
B INDEPENDENT CLAIMS FEE*	6	-3	3	LG=\$86 SM=\$43	\$86	258	
C SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)						258	
D MULTIPLE-DEPENDENT CLAIMS FEE					LARGE ENTITY FEE = \$290 SMALL ENTITY FEE = \$145	\$ 0	
E BASIC FEE					LARGE ENTITY FEE = \$770 SMALL ENTITY FEE = \$385	\$ 770	
F TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)						\$ 1028	
G ASSIGNMENT RECORDING FEE					\$ 40	\$ 40	
*LIST INDEPENDENT CLAIMS 1, 3, 5, 7, 9, and 11.							

- ☒ Please charge my Deposit Account No. 50-1314 the amount of \$ 1028.00. A copy of this letter is enclosed.
- ☐ A check in the amount of \$ 0 to cover the filing fee is enclosed.
- ☐ A check in the amount of \$ 40.00 to cover Assignment Recordation fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any deficiency for the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314. **A copy of this sheet is enclosed.**
- ☒ Any additional filing fees required under 37 C.F.R. 1.16
- ☒ Any patent application processing fees under 37 C.F.R. 1.17

Respectfully submitted,
HOGAN & HARTSON LLP.By: 
Anthony J. Grier
Registration No. 41,232
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Date: October 30, 2003

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Tsuyoshi YAMAMOTO et al.

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For: Tilt Control Method and Apparatus for Optical Disc
Recording and Playback Apparatus

Art Unit: Not assigned

Examiner: Not assigned

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL
"Express Mail" Mailing Label No. EV 324 110 879 US
Date of Deposit: October 30, 2003

Mail Stop PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that

- ☒ two copies of a letter of transmittal
- ☒ patent application (20 page(s) of specification; 12 claim(s); 1 page(s) of abstract
- ☒ 2 sheet(s) of formal drawings
- ☒ executed Declaration and Power of Attorney
- ☒ assignment of the invention to SANYO ELECTRIC CO., LTD.
- ☒ certified copy of Japanese patent application No. 2002-362233 which was filed December 13, 2002 from which priority is claimed in the subject case pursuant to 35 U.S.C. § 119
- ☒ Information Disclosure Statement with 2 references
- ☒ return postcard

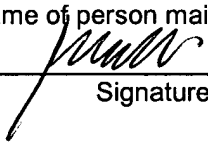
are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Mail Stop PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Arlington, VA 22313-1450

Date: October 30, 2003

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Reynaldo Gallardo
Name of person mailing papers


Signature